

RISK PROFILE QUESTIONNAIRE

A. INVESTOR PROFILE

Please ✓ where appropriate:

1. What age group do you fall into?

- 20 - 35
- 36 – 45
- 46 – 55
- 56 – 65
- Over 65

2. Which category most closely reflects your current financial situation?

- Debt free
- Mortgage free but have some other obligations
- Have a reasonable mortgage
- Have a mortgage and a few other debts
- I have many obligations

B INVESTMENT OBJECTIVES

1. What type of investment are you considering?

- Funding for retirement
- Receiving a post-retirement income
- Investing for the shorter term
- Discretionary investment, beyond 3 yrs, not linked to retirement

2. When do you expect to start withdrawing the money that you intend to invest?

- Short term, state number of years between 1 and 5
- Medium term, say between 6 – 9 years
- Long term, say 10 years or more

3. How likely are you to draw money from this investment at short notice?

- Not likely
- Possibly
- Very likely
- Is so, how much are you likely to require?

R _____

4. What portion of your capital earmarked for generating income does this investment represent?

- Small
- Less than half
- More than half
- Large

5. What is your primary investment objective

- Primarily **income generation** with a bias towards **capital stability** Annual Income of _____
- **Stable capital growth**, outperforming bank deposits, without any major capital volatility
- **Reasonable capital growth**, beating inflation, with moderate volatility
- **Maximum capital growth** over the long term with the corresponding high volatility

6. Which best-case/worst-case investment scenario would you feel most comfortable with?

Worst Case Scenario	Expected Annual Return	Best Case Scenario	<input type="checkbox"/>
4%	8%	12%	<input type="checkbox"/>
- 10%	10%	18%	<input type="checkbox"/>
- 15%	12%	24%	<input type="checkbox"/>
- 20%	14%	30%	<input type="checkbox"/>

7. If the current value of your investment dropped by 20%, would you...

- Sell everything to avoid further loss
- Move to another investment
- Do nothing and wait for it to recover
- Invest more

I /we understand that:

- the above assessment summary does not, nor is intended to constitute advice and is based on information provided by me /us
- Should I/we not feel comfortable with the outcome of this assessment, my/our specific requirements should take precedence
- Risk assessments should be performed periodically or when one's circumstances change

Client's signature: _____

Date: _____

Advisor's signature: _____